



IT Audit Report

System Security and Control Assessment

REPORT INFORMATION

Company Name

System / Application Name

Audit Period

Audit Reference No.

Lead IT Auditor

Report Date

AUDIT SCOPE & OBJECTIVES

Item

Detail

System Scope

Audit Objectives

Standards / Frameworks Applied

e.g. COBIT, ISO 27001, NIST

Modules / Components Covered

Exclusions

SECURITY CONTROLS ASSESSMENT

Control Domain

Control Description

Status

Maturity Level

Remarks

Access Control

User authentication and
access provisioning

Effective / Ineffective

1 / 2 / 3 / 4 / 5

Data Security	Encryption of data at rest and in transit	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Network Security	Firewall, IDS/IPS, network segmentation	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Change Management	SDLC and change control processes	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Backup & Recovery	Backup frequency, retention, restoration testing	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Incident Management	Security incident detection and response	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Vendor Management	Third-party access and contract controls	Effective / Ineffective	1 / 2 / 3 / 4 / 5
Physical Security	Data centre and hardware access controls	Effective / Ineffective	1 / 2 / 3 / 4 / 5

IT AUDIT FINDINGS – VULNERABILITIES

Finding ID	Vulnerability	System Affected	Risk Level	CVSS Score	Recommendation
IT-001			Critical / High / Med / Low		
IT-002			Critical / High / Med / Low		
IT-003			Critical / High / Med / Low		
IT-004			Critical / High / Med / Low		
IT-005			Critical / High / Med / Low		

SYSTEM RELIABILITY ASSESSMENT

Parameter	Measurement / Status	Target	Result
System Uptime		99.9%	Met / Not Met
Mean Time to Recovery (MTTR)		< 4 hours	Met / Not Met
Disaster Recovery RTO			Met / Not Met

Disaster Recovery RPO		Met / Not Met
Last DR Test Date	Annually	
Data Integrity Checks	Monthly	Met / Not Met

CONCLUSION & OVERALL ASSESSMENT

System Reliability Rating: ☐ Satisfactory ☐ Needs Improvement ☐ Unsatisfactory

Overall Security Posture: ☐ Strong ☐ Moderate ☐ Weak

Summary Conclusion:

SIGNATURE & APPROVAL

Prepared By	Reviewed By	Approved By
Name: _____	Name: _____	Name: _____
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____
Signature: _____	Signature: _____	Signature: _____